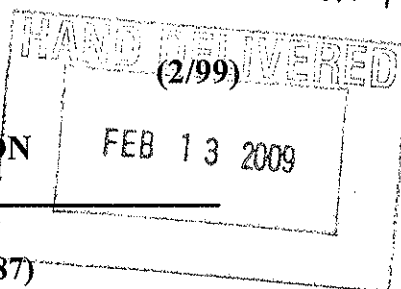


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name David L. Williams

Business address P. O. Box 666, 124 North Main Street, Burkesville, KY 42717

Business telephone (270)864-5636

Home address 200 Southwind Drive, Burkesville, KY 42717

Title of public position, or office sought State Senator

Other occupations of filer Attorney

Occupations of spouse District Judge

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Self-employed Attorney

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation District Judge

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more Merrill Lynch CMA, IRA's, Keogh Accounts, Deferred Comp, Law Office of David L. Williams

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) None

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

N/A

The names of any of the filer's clients who are legislative agents or employers None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

Sources and form of gross income of the filer (list sources by name) Law Practice,
CMA, Merrill Lynch, Legislative Salary

Sources and form of gross income of the filer's spouse (list sources by name) _____
District Judge for the 40th Judicial District, Division I, of Kentucky. The district
includes Russell, Clinton and Wayne Counties.

Positions of a fiduciary nature in a business None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Law Office, commercial, - 124 North Main Street, Burkesville, KY 42717

Office building, commercial, - 48 Wilson Street, Russell Springs, KY 42642

Spouses Residence, 332 Redmon Road, Russell Springs, Ky 42642

Farm, rural, Hwy 1545, Russell Springs, KY 42642

Farm & House, residential, Hwy 1545, Russell Springs, KY

House & Farm, residential, Hales Highway, Russell Springs, KY 42642

Farm, rural, Crocus Creek Road, Jamestown, KY 42629

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) None

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

None

State Agency

None

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

February 12, 2009

Date

Filer

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.



Mark A. Wilden, CFP, CIMA
Wealth Management Advisor
Vice President
Portfolio Manager PIA Program

Global Private Client

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RE: Senator David L Williams Security Accounts

To Whom It May Concern:

Senator David L. Williams has security accounts with Merrill Lynch that are invested in mutual funds over which he has no direct control. If you have any other questions or concerns, please let me know. Thank you.

Yours Truly,

A handwritten signature in dark ink, appearing to read "Mark Wilden", enclosed within a large, loopy oval flourish.

Mark Wilden, CFP, CIMA
Wealth Management Advisor
Vice President